

81645



A116 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not Yet Assigned  
Group : 1645  
Applicant : Stephen M. Strittmatter, et al.  
Application No. : 09/972,546  
Confirmation No. : 4440  
Filed : October 6, 2001  
For : NOGO RECEPTOR HOMOLOGS

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DEC 13 2001  
TECHCENTER 1600/2900

New York, New York  
November 30, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR DECLARATION AND POWER OF ATTORNEY

Sir:

Transmitted herewith is an executed Declaration and Power of Attorney in the above-identified application. This Declaration and Power of Attorney is being submitted on a date later than the filing date of the application. Accordingly, pursuant to 37 C.F.R. § 1.53(f), this Declaration and Power of Attorney is accompanied by the fee set forth in 37 C.F.R. § 1.16(e).

12/12/2001 SSESNE1 00000011 09972546

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130.00 OP

The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 or any other rule, in connection with the Declaration and Power of Attorney transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)

Barbara A. Ruskin (Reg. No. 39,350)

Attorneys for Applicant

c/o FISH & NEAVE

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New York, New York  
January 10, 2002

Hon. Commissioner for Patents  
Washington, D.C. 20231  
Box MISSING PARTS

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following:

- 1) Notice to File Corrected Application Papers;
- 2) A Reply to Notice to File Corrected Application Papers (in duplicate);
- 3) Substitute Drawings;
- 4) A Paper Copy of the Substitute Sequence Listing (149 pages);
- 5) A Computer Readable Form Copy of the Substitute Sequence Listing;

- 6) A Statement in Support of Amendments to Sequence Listing under 37 C.F.R. § 1.825(a) and in Support of Computer Readable Form Submission under 37 C.F.R. § 1.825(b);
- 7) A Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;
- 8) A Request for Corrected Filing Receipt (in duplicate); and
- 9) A Marked-up Copy of the Filing Receipt.

The Director is hereby authorized to charge payment of any fees required in connection with any of these documents, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

*Barbara Ruskin*

James F. Haley (Reg. No. 27,794)  
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c/o FISH & NEAVE

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I Hereby Certify that this  
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Brunswick, VA 22202 on

*January 10, 2002*  
Claire J. Saintil

*[Signature]*  
Signature of Person Signing



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REPLY TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

This is in reply to the November 13, 2001 Notice to File Missing Parts  
("Notice"), a copy of which is enclosed herewith.

Applicant is filing this reply within two months from the date of the  
Notice – January 13, 2002 – and thus, no extension fee is due.

The enclosed Notice states that applicants must provide (1) substitute  
drawings in compliance with 37 C.F.R. §1.84, (2) a computer readable form ("CRF")

copy of the Sequence Listing, and (3) a statement as required by 37 C.F.R. §§ 1.821 or 1.825.

In accordance with the Notice, applicants submit herewith substitute drawings in compliance with 37 C.F.R. §1.84, a CRF copy of the Sequence Listing in ASCII (DOS) text, a substitute paper copy of the Sequence Listing (with added SEQ ID NOS. 18 and 19), a Statement Under 37 C.F.R. § 1.825(a) and (b) and a Statement in accordance with 37 C.F.R. §§ 1.821-1.825 that the contents of the paper and CRF copies of the Sequence Listing are the same and do not include new matter. Applicants request entry of the substitute Sequence Listing.

In view of the above, applicants believe that all of the requirements of the Notice have been met.

The Director is hereby authorized to charge any fee that may be due, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this reply is enclosed herewith.

Respectfully submitted,

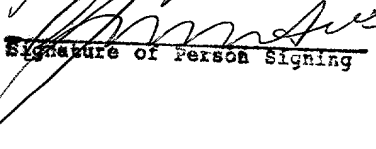


James F. Haley (Reg. No. 27,794)  
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PATENTS, P.O. Box 2327  
ARLINGTON, VA 22202 on

  
Claire J. Stuppill

  
Signature of Person Signing

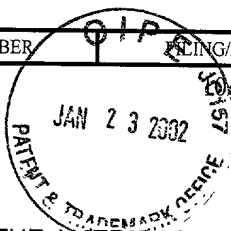


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,546	01/06/2001	Stephen M. Strittmatter	A116

1473  
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NEW YORK, NY 10020-1105



CONFIRMATION NO. 4440

## FORMALITIES LETTER



\*OC000000007058869\*

Date Mailed: 11/13/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

Paul

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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